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### 19. Visit 3 - Baseline - Date of Visit 3 - Baseline

Number	Question	Answers
19.1	Date of Visit 3 - Baseline	(dd-mm-yyyy)
19.2	Is Date of Visit 3 between 4 and 10 days after Date of Visit 2?	Automatic Calculation on Castor

SOPHIST worksheet Visit 3 V2 09-06-2025



Participant ID [	_][_]!	[_][_][_]	Initials [ _ ] [ _	][_]	]

## 20. Visit 3 - Baseline - Weight

Number	Question	Answers
20.1	Weight	kg



Participant ID [ \_ ] [ \_ ] [ \_ ] [ \_ ]

Initials [ \_ ] [ \_ ] [ \_ ]

### 21. Visit 3 - Baseline - Concomitant Medications

Number	Question	Answers
21.1	Concomitant Medications	
	Please complete Concomitant Medications Log	

SOPHIST worksheet Visit 3 V2 09-06-2025



Participant ID [ \_ ] [ \_ ] [ \_ ] [ \_ ]

Initials [ \_ ] [ \_ ] [ \_ ]

#### 22. Visit 3 - Baseline - Adverse Events

Number	Question	Answers
22.1	Adverse Events	

Please complete Adverse Events Log

SOPHIST worksheet Visit 3 V2 09-06-2025



Participant ID	[_]	[ ]	[ ]	[	11	1

### 23. Visit 3 - Baseline - Glucose Review

Number	Question	Answers	
23.1	Was Glucose Management Assessed?  If Glucose Management not assessed, this is a Protocol breach	○ YES ○ NO	
23.2	Insulin administration	○ Subcutaneous injections ○ Pump	
23.3	Daily basal insulin dose (average of last 7 days)	units/day	· · · · · · · · · · · · · · · · · · ·
23.4	Daily bolus insulin dose (average of last 7 days)	units/day	,
23.5	Total daily insulin dose (average of last 7 days)	Automatic Calculation on Castor	
	CGM summary data from previous 2 weeks		
23.6	Are summary data from CGM readings over the 2 weeks prior to this visit available?	○ YES ○ NO	
23.6.1			
	Number of days CGM worn over preceding 14 days	days	

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S	PHIST	Participant ID [ _ ] [ _ ] [	_1[_]	Initials [ _ ] [ _ ] [	[_]
23.6.3	Mean blood glucose level over preceding 14 days			:	mol/L

23.6.4	Blood glucose percentage time above 13.9 mmol/L over preceding 14 days		%
23.6.5	Blood glucose percentage time from 10.1 to 13.9mmol/L over preceding 14 days		%
23.6.6	Blood glucose percentage time from 3.9 to 10.0 mmol/L over preceding 14 days		%
23.6.7	Blood glucose percentage time from 3.0 to 3.8 mmol/L over preceding 14 days		%
23.6.8	Blood glucose percentage time below 3.0 mmol/L over preceding 14 days		%
23.6.9	Do blood glucose percentage times spent in each range add up to 100%?	Automatic Calculation on Ca	astor
23.6.10	Glycaemic variability index over preceding 14 days		%CV

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	Does the participant repor	•	○ YES ○ NO
		3 hypoglycaemic event since last visit, r trial. Complete the hypoglycaemic	
	to actively administe associated with suff may not be available	er carbohydrate, glucagon, or of icient neuroglycopaenia to indu during such an event, but neu ormal is considered sufficient e	hospitalisation and/or assistance of another person ther resuscitative actions. These episodes may be uce seizure or coma. Plasma glucose measurements rological recovery attributable to the restoration of evidence that the event was induced by a low plasma
	Hypoglycaemic Ever	nts	
23.1.1.2	Please record any le	vel 2 or 3 hypoglycaemic events	s in the Hypoglycaemic Events Log
	Does the participant repor nemic events in the last 2		○ YES ○ NO
23.1.3.1	If Yes, specify		
23.7	HbA1c performed?		Automatic Calculation on Castor
23.7.1	HbA1c units used?		Automatic Calculation on Castor
23.7.2	HbA1c level		Automatic Calculation on Castor
23.7.1.1	Is HbA1c result lower	than 58 mmol/mol or 7.5%?	Automatic Calculation on Castor
23.7.1.2.1	If HbA1c result is lowe insulin reduced by 10	er than 58 mmol/mol or 7.5% was %?	○YES ○NO
	If insulin not reduced by	10%, this is a Protocol breach	



Participant ID	[ ]	[ ]	Γ	1	Γ	1	[	1

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### 24. Visit 3 - Baseline - Ketone Review

Number	Question	Answers
	Ketone Readings	
24.1	Have there been Ketone measures since the last visit?	Oyes
		ONO
24.1.1	Number of ketone measurements taken since last visit	
24.1.2	Number of episodes with ketone levels between 0.6 and 1.5	
	mmol/L (inclusive of endpoints).	<u> </u>
	A distinct episode is a period where ketones have gone above the come down below this, If it then went up again that would be a new	
24.1.4	Number of episodes with ketone levels greater than 1.5 mmol/L	
	·	<u> </u>
,	A distinct episode is a period where ketones have gone above the	threshold (1.5mmol/L) and then come
(	down below this. If it then went up again that would be a new disti	nct event.
24.1.6	Have there been any DKA events since the last visit?	Oyes
		$\bigcirc$ NO
	If there has been a DKA event since last visit, participant is ineligible for to	ial. Complete the DKA Log.
	DKA Events	and the Bra E and t
	If the participant has experienced any DKA events please co	omplete the UKA Events Log.

Participant ID [	][	][	][	][	]
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# Repeating Data 'Vital Signs'

### Form Vital Signs



mmHg
mmHg
bpm



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### 26. Visit 3 - Baseline - Questionnaires

Number	Question	Answers
26.1	Has the KCCQ questionnaire been completed?	○ YES ○ NO
26.1.1	Add KCCQ	Performed in Castor
26.2	Has the DTSQs questionnaire been completed?	○ YES ○ NO
26.2.1	Add DTSQs	Performed in Castor
26.3	Has the EQ-5D-5L questionnaire been completed?	○ YES ○ NO
26.3.1	Add EQ-5D-5L	Performed in Castor



Participant ID	[ ] [	[ ]	[ ]	Γ	1[	1

### 27. Visit 3 - Baseline - 6-Minute Walk Test

Number	Question	Answers
	Please complete 6-Minute Walk Test	
27.1	Was 6-Minute Walk Test completed?	○ YES ○ NO
27.1.1	Distance walked in 6 minutes?	m
27.1.2	Number of stops?	



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### 28. Visit 3 - Samples

28.1 Safety Bloods	
20.1 Galety Bloods	
Date of blood sample	(dd-mm-yyyy)
Haemoglobin	
Haemoglobin Unit  g/L  g/dL	
Sodium	mmol/L
Potassium	mmol/L
Urea	mmol/L
Creatinine	µmol/L
Glucose	mmol/L
eGFR mL/min/1.73m2	



Participant ID	[ ]	[ ]	[ ]	[ ]	Γ	1
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## 28. Visit 3 - Baseline - Samples

Question	Answers
Urine Pregnancy Test	
Pregnancy test performed	_
	YES
	ONO
	○ N/A
Pregnancy test result	OPositive
• ,	Negative
Without a possitive programme that would the position out is not	○ Negauve
eligible to take part in the trial.	
Is the participant either permanently sterilized or post-	
menopausal?	YES
	ONO
Urine Sample	
	Urine Pregnancy Test  Pregnancy test performed  Pregnancy test result  Without a negative pregnancy test result, the participant is not eligible to take part in the trial.  Is the participant either permanently sterilized or postmenopausal?

Initials	[ ]	[ ]	[

# Repeating Data 'Urine Sample'

### Form Urine sample



	Question	Answers
	Date of urine sample	(dd-mm-yyyy)
	Albumin	mg/L
	Creatinine	μmol/L or mmol/L
	Urine albumin/creatinine ratio	mg/mmol
	Sodium	mmol/L
28.4	Were research bloods taken and processed as per laboratory manual?	○ YES ○ NO
28.4.1	If an averaged Nieuwiya maaaan	

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If answered No, give reason



Participant ID	[_]	[ ]	[ ]	[ ]	[ ]	

### 29. Visit 3 - Baseline - Inclusion Criteria

Number	Question	Answers
29.1	Age 18 years to <85 years	○YES ○NO
29.2	Type 1 Diabetes	Automatic Calculation on Castor
29.3	Insulin dose greater than or equal to 0.5 units/kg body weight at screening or BMI equal to or greater than 25kg/m2 at screening	Automatic Calculation on Castor
29.4	Using continuous glucose monitor at screening or willing to use one for the duration of the trial	Automatic Calculation on Castor
29.5	Diagnosis of heart failure (HF), defined as one or more of the following:	Automatic Calculation on Castor
	Previous HF hospitalisation where HF was documented as the prequirement for loop diuretics.	primary cause of hospitalisation and there was a
	Impaired left ventricular function (i.e. LVEF <50% by any imagir	ng modality) at any time.
	Preserved LV systolic function (LVEF ≥50%) with left atrial enlawidth ≥3.8cm or left atrial length ≥5.0 cm or left atrial area ≥20cl last 24 months.	-
	Preserved LV systolic function (LVEF ≥50%) with left ventricular diastolic interventricular septal diameter ≥1.2cm or end-diastolic within the last 24 months.	
	Preserved LV systolic function (LVEF ≥50%) with diastolic dysfu or average E/e' ≥15) within the last 24 months.	unction (septal e' <7cm/sec or lateral e' <10cm/sec
29.6	New York Heart Association Class II-IV at screening	Automatic Calculation on Castor
29.7	Elevated N-terminal pro-B-type natriuretic peptide (≥400 ng/L of for those in atrial fibrillation/flutter, ≥250 ng/L for those in all other rhythms) or B-type natriuretic peptide (≥100 ng/L for those in atrial fibrillation/flutter, ≥75 ng/L for those in all other rhythms) within 12 months of screening	YES O NO

Participant ID [ \_ ] [ \_ ] [ \_ ] [ \_ ]

Initials [ \_ ] [ \_ ] [ \_ ]



Participant ID [ \_ ] [ \_ ] [ \_ ] [ \_ ]

Initials [ \_ ] [ \_ ] [ \_ ]

29.8

Kansas City Cardiomyopathy clinical summary score less than 85 at screening.

**Automatic Calculation on Castor** 



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### 30. Visit 3 - Baseline - Exclusion Criteria

Number	Question	Answers
30.1	Cardiac surgery (coronary artery bypass graft or valve replacement), type 1 myocardial infarction, implantation of cardiac device (including biventricular pacemaker) or cardiac mechanical support implantation within 1 month of screening, or between screening and randomisation, or planned during the trial.	○YES ○NO
30.2	End-stage heart failure requiring left ventricular assist devices, intra-aortic balloon pump, or any type of mechanical support at the time of randomisation.	○ YES ○ NO
30.3	Documented primary severe valvular heart disease, amyloidosis or hypertrophic cardiomyopathy as principal cause of heart failure as judged by the local investigator.	○YES ○NO
30.4	Respiratory disease thought to be the primary cause of dyspnoea as assessed by the local investigator.	○YES ○NO
30.5	Chronic kidney disease with estimated glomerular filtration rate <25ml/min/1.73m2 at screening.	○YES ○NO
30.6	Moderate or severe hepatic impairment (e.g. Child-Pugh B and C) at screening as judged by the local investigator	○YES ○NO
30.7	Use of sotagliflozin or any SGLT2 inhibitor within 1 month of screening or between screening and randomisation.	○YES ○NO
30.8	Previous hypersensitivity/intolerance to SGLT2 inhibitors.	○ YES ○ NO
30.9	Presence of malignancy with expected life expectancy less than 1 year at screening	○YES ○NO

S	PHIST	Participant ID [ _ ] [ _ ] [	_][_]	Initials [ _ ] [ _ ] [ _ ]
30.10	episode requiring external a	spitalisation for hypoglycaemia or assistance to treat) within 1 month en screening and randomisation.	○YES ○NO	
30.11		month of screening or between on, or greater than or equal to 2 nketotic hyperosmolar state	○ YES ○ NO	
30.12	Pregnant or lactating wome	n	○YES ○NO	
30.13		or male partners of women of acticing a method of acceptable	○ YES ○ NO	
30.14	On a ketogenic diet.		○ YES ○ NO	
30.15	Unwilling/unable to share gl data.	ucose and ketone monitoring	○YES ○NO	
30.16	elimination half-life after the	lrugs within five times of the last dose or within 30 days, tenrolment in non-interventional, allowed.	○ YES ○ NO	



Participant ID	[ ] [	Г 1 <sub>Г</sub>	11	11	[ ]

## 31. Visit 3 - Baseline - Eligibility

Number	Question	Answers
	Eligibility must be checked prior to randomisation by a doct	or delegated this task in the Delegation Log.
31.1	Is the participant eligible to take part in the trial?	YES
		ONO
24.0	Was eligibility signed off by a delegated doctor prior to	
31.2	randomisation?	YES
		$\bigcirc$ NO
	News of Discussion delegates	
31.3	Name of PI or delegated doctor	
31.4	Date of signature	(dd-mm-yyyy)
31.5	Date of signature between date of visit 3 and date of randomisation?	Automatic Calculation on Castor



Partici	pant ID	[ ]	[	1 F	11	11	1

### 32. Visit 3 - Baseline - Randomisation

Number	Question	Answers
32.1	Has the participant been randomised?  If field's value is equal to NO: Participant is not eligible for trial. Please complete a Completion of Trial/Withdrawal form.	○ YES ○ NO
32.1.1	Date of Randomisation	(dd-mm-yyyy)
32.1.2	Is date of randomisation after date of consent (Visit 1) and on or after date of eligibility sign-off (Visit 3)?	Automatic Calculation on Castor



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# 33. Visit 3 - Baseline - Dispensing of IMP

Number	Question	Answers
33.1	Was IMP dispensed at visit?	○YES ○NO
33.1.1	If answered No, give reason?	